

09-24-01

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Patent Application

PTO
S. N. 666
09/960629
09/21/01

PTO
U.S. 914C
09/21/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gordon D. Ford

Entitled: LENS FOCUSING DEVICE, SYSTEM AND METHOD FOR USE WITH
MULTIPLE LIGHT WAVELENGTHS

Box PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL	
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37 CFR 1.10 on the date indicated above and is addressed to	
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Assistant Commissioner of Patents, Washington, D.C. 20231	
Type or Print Name	<u>Martha Rodin</u>
Signature	<u>Martha Rodin</u>

Dear Sir:

REQUEST FOR FILING A NATIONAL PATENT APPLICATION

Transmitted herewith for filing, please find the following:

- ☒ 1. Specification, claims and abstract of the above-referenced patent application having 34 pages.
- ☒ 2. 9 sheet(s) of drawing(s) (☐ formal / ☒ informal) comprising Figures 1 through 5A.
- ☐ 3. Declaration and executed Power of Attorney's (☐ signed ☐ unsigned).
- ☐ 3A. No filing fee, Oath, or Declaration is enclosed pursuant to 37 C.F.R. 1.53(d).
- ☐ 4. Information Disclosure Statement along with Form PTO-1449 and references.
- ☐ 5. This is a: ☐ Continuation-In-Part; ☐ Divisional; ☐ Continuation;
☐ substitute Application (MPEP 201.09) of Application Serial No. ☐ filed ☐;
☐ reissue of U.S. Patent No. ☐ filed on ☐.
- An extension to extend the life of the above prior Application to at least the date of filing hereof

ASF00069-PA-US

Patent Application

- (a) _____ is concurrently being filed in that prior Application,
(b) _____ was previously filed in that prior Application,
(c) _____ is not necessary for copendency.

_____ 6. Attached is an assignment. Please return the recorded assignment to the undersigned.

X 7. Priority is claimed under 35 U.S.C. § 119/120 based on filing in the United States.

	<u>Application No.</u>	<u>Filing Date</u>
(1)	<u>60/234,810</u>	<u>September 22, 2000</u>
(2)	<u>60/234,812</u>	<u>September 22, 2000</u>
(3)		

_____ (No.) Certified copy (copies) _____ are attached; or _____ were previously filed on _____.

_____ 8. Attached is Form PTO/SB/35 NonPublication Request Under 35 U.S.C. 122(b)(2)(B)(i).

_____ 9. Attached: _____ (No.) verified statement(s) establishing "small entity" status under 37 CFR § 1.9 and 1.27.

X 10. Attached:

X Return Postcard
_____ (Other)

_____ 11. Preliminary Amendment:

Prior to a first Office Action, kindly amend the Application as follows:

Patent Application

12. The following Filing Fee calculation is based on the claims filed less any claims canceled by the Preliminary Amendment of Item 11.

					SMALL ENTITY RATE	OR	LARGE ENTITY RATE	=	
BASIC FEE					\$355		\$710		\$ 710 00
	NUMBER FILED			NUMBER EXTRA					
TOTAL CLAIMS	45	-20	=	25 (at least 0)	x 9	OR	x 18	=	\$ 450 00
INDEP. CLAIMS	6	3	=	3 (at least 0)	x 40	OR	x 80	=	\$ 240 00
If any <u>proper</u> multiple dependent claim (ignore improper) is present (Enter \$0.00 if this is a <u>reissue</u> application)					+\$135	OR	+\$270	=	+\$ _____
If assignment is x'd (item 6), add recording fee \$40.00									+\$ 00 00
Attached is a Rule 47 Petition (inventor refuses to sign or cannot be reached) \$130									+\$ 00 00
TOTAL FILING FEE									= \$ 1400.00

- ____ 13. A check in the amount of ____ to cover the Filing Fee calculated in Item 11 is attached. Please charge any deficiency or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. _____.
- ____ 14. Please charge SIMON, GALASSO & FRANTZ PLC, Deposit Account No. ____ in the amount of _____ the Filing Fee calculated in Item 11. This sheet is attached in duplicate.
- ____ 15. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, and to have and cause any necessary petition for extension of time to be filed and any fees necessary to be paid for said extension of time OR credit any overpayment to SIMON, GALASSO & FRANTZ PLC Deposit Account No. _____, for which purpose a duplicate copy of this sheet is attached. **The Commissioner is not authorized to charge the issue fee until/unless an issue fee transmittal form is filed.**

____ 16. Customer Number or Bar Code Label

Respectfully submitted,
Applied Science Fiction, Inc.

By: Raymond M. Galasso
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